

09/787483

Prepared from  
FORM PTO-1390Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)

Page 1

|                                |   |                   |
|--------------------------------|---|-------------------|
|                                | 026418  |                   |
| Attorney's Docket No.:         | VIS P-3 / 500601.20005  |                   |
| U.S. Application No.:          |   |                   |
| International Application No.: | PCT/RU99/00342  |                   |
| International Filing Date:     | SEPTEMBER 20, 1999  | 20 SEPTEMBER 1999 |
| Priority Date Claimed:         | SEPTEMBER 21, 1998  | 21 SEPTEMBER 1998 |
| Title of Invention:            | STRUCTURED SYSTEM FOR MONITORING AND CONTROLLING THE<br>ENGINEERING EQUIPMENT OF AN INSTALLATION  |                   |
| Applicant(s) for (DO/EO/US):   | Ginzburg Vitaliy VENIAMINOVICH, Viktor Aleksandrovich<br>BURMISTROV, Aleksandr Vasilevich FABRICHNEV and Vladimir<br>Vladimirovich ERSHOV |                   |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

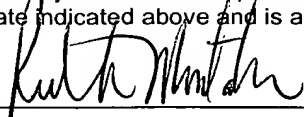
- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An Executed Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

**Items 11. to 16. Below concern other document(s) or information included:**

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ A **FIRST** preliminary amendment  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A substitute specification
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/IPEA/409 dated 6DEC00**

EXPRESS MAIL No.: **EL 758 525 730 US**Deposited: **March 19, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

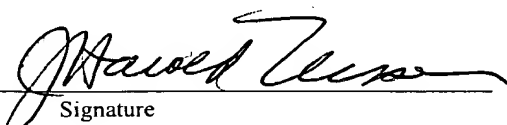

/Ruth Montalvo Date: **March 19, 2001**

09/787483

U.S. Application No. (if known, see 37 C.F.R. 1.50):  
 International Application No.: **PCT/RU99/00342**

**532 Rec'd PCT** **19 MAR 2001** Page 2  
 Attorney's Docket No: **VIS P-3 / 500601.20005**

CALCULATIONS PTO USE ONLY

|  |   |   |              |               |                       |           |
|--|---|---|--------------|---------------|-----------------------|-----------|
| <b>[X] 17. The following fees are submitted:</b>   |   |   |              |               |                       |           |
| <b>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]</b>   |   |   |              |               |                       |           |
| <input type="checkbox"/>   | Search Report has been prepared by the EPO or JPO.....  | \$  | 860.00       |               |                       |           |
| <input type="checkbox"/>   | International preliminary examination fee paid to USPTO [37 CFR 1.482].....   | \$  | 690.00       |               |                       |           |
| <input type="checkbox"/>   | No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....  | \$  | 710.00       |               |                       |           |
| <input checked="" type="checkbox"/>  | Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....   | \$  | 1,000.00     |               |                       |           |
| <input type="checkbox"/>   | International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....  | \$  | 100.00       |               |                       |           |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT:</b>   |   |   |              |               | <b>\$1,000.00</b>     |           |
| Claims   | Number Filed  |   | Number Extra | Rate          |                       |           |
| Total Claims (Prel.Amt)  | 11  | -20   |              | x \$ 18. =    |                       |           |
| Indep. Claims  | 1   | -03   |              | x \$ 80. =    |                       |           |
| <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)   |   |   |              | + \$ 270. =   |                       |           |
| <b>TOTAL OF ABOVE CALCULATIONS:</b>  |   |   |              |               | <b>\$1,000.00</b>     |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]                 |   |   |              |               |                       |           |
| <b>TOTAL OF ABOVE CALCULATIONS:</b>  |   |   |              |               | <b>\$1,000.00</b>     |           |
| Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity   |   |   |              |               | <b>\$ 500.00</b>      |           |
| <b>SUBTOTAL:</b>   |   |   |              |               | <b>\$ 500.00</b>      |           |
| Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]            |   |   |              |               |                       |           |
| <b>TOTAL NATIONAL FEE:</b>   |   |   |              |               | <b>\$ 500.00</b>      |           |
| Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +                              |   |   |              |               |                       |           |
| <b>TOTAL FEE(S):</b>   |   |   |              |               | <b>\$ 500.00</b>      |           |
| AMOUNTS TO BE REFUNDED OR CHARGED  |   |   |              |               | <b>REFUNDED</b>       | <b>\$</b> |
|  |   |   |              |               | <b>CHARGED</b>        | <b>\$</b> |
| <b>(Please note the filing fee is based on the claims in the Preliminary Amendments)</b>   |   |   |              |               |                       |           |
| <input checked="" type="checkbox"/>  | Check in the amount of <b>\$ 500.00</b> to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.) |   |              |               |                       |           |
| <b>NOTE:</b> Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status. |   |   |              |               |                       |           |
| <b>SEND ALL CORRESPONDENCE TO:</b>   |   |   |              |               |                       |           |
| <b>J. Harold Nissen, Esq. (Customer No. 026418)</b>  |   |   |              |               |                       |           |
| Reed Smith LLP   |   |   |              |               |                       |           |
| 375 Park Avenue  |   |   |              |               |                       |           |
| New York, NY 10152   |   |   |              |               |                       |           |
| <u>J. Harold Nissen</u>  |   |  |              | <u>17,283</u> | <u>March 19, 2001</u> |           |
| Name (Tel. (212) 521-5400)   |   | Signature   |              | Reg. No.      | Date                  |           |